Docket No. 126-PCT2-US

## IN THE UNITED STATE PATENT AND TRADE MARK OFFICE

Applicant:

James Jaquith et al

Art unit:

Not yet assigned

Serial No:

PCT/CA2004/001484

Examiner:

Not yet assigned

Filed:

11 August 2004

**Customer No:** 

45980

Title:

3-(INDOL-1-YL)-4-(INDOL-3-YL)-PYRROLE-2,5-DIONES,3-(BENZIMIDAZOL-1-YL)-4-(INDOL-3-YL)-PYRROLE-2,5-DIONES, and 3-(INDOLIN-1-YL)-4-(INDOL-3-YL)-PYRROLE-2,5-DIONES; THEIR PREPARATION AND USE AS MODULATORS OF

APOPTOSIS.

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, Virginia USA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

This statement is being filed with the application. Applicants submit the enclosed PTO 1449 form identifying six (6) references. Under 35 USC § 120, this application relies on the earlier filing date of application serial number PCT-CA2004/001484 filed August 11 2004.

Submission of this statement is not a representation that a search has been made, nor is the inclusion of information in this statement an admission that the information is material to patentability.

Respectfully submitted,

Date: January 30 2006.

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10/566752 IAP9 Rec'd PCT/PTO 11 FEB 2006

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Complete if Known

Substitute for form	1449/PTO	Col	Complete if Known		
		Application Number	PCT/CA2004/001484		
INFODM	ATION DISCLOSURE	Filing Date	August 11 2004		
		First Named Inventor	James B. Jaquith		
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(Use as many sheets as necessary)		Examiner Name			
Shoot 1	of 2	Attorney Docket Number	126-PCT2-US		

			U.S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<del></del>	<del> </del>	US- F OFT OAA	40.45.4004	5	
		<sup>US-</sup> 5,057,614	10-15-1991	Davis et al	
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Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T⁵
		CA 2,409,355	11-22-2001	Fallis et al		H
		WO 99/47518 A	09-23-1999	Hoffman-LaRoche		Γ
		WO 98/04551 A	02-05-1998	Hoffman-LaRoche		
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO				Complete if Known		
0				Application Number	PCT/CA2004/001484	
INF	ORMATION	DIS	CLOSURE	Filing Date	August 11 2004	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	James B. Jaquith	
				Art Unit		
(Use as many sheets as necessary)			ecessary)	Examiner Name		
Sheet	2	of	2	Attorney Docket Number	126-PCT2-US	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	1	DAVIS, P.D., et al. "Inhibitors of Proteinkinase C.I. 2,3-Bisarylmaleimides".Journal of Medicinal Chemistry, vol. 35, no. 1, 10 January 1992, pages 177-184.	-	
·	2	DAVIS, P.D., et al." A Mild Conversion of Maleic Anhydrides into Maleimides", Tetrahedron Letters, vol.31, no. 36, 1990, pages 5201-5204		
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Examiner		Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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